# A REMARK ON BEALE-NISHIDA'S PAPER 

YASUSHI HATAYA

Department of Mathematics, Yamaguchi University, Yamaguchi 753-8512, Japan.
E-mail: hataya@yamaguchi-u.ac.jp


#### Abstract

We discuss decay properties of solutions to viscous surface waves with capillarity given in Beale-Nishida's article [2]. We study their problem more precisely and make some remarks on their results.


## 1. Introduction

The aim of the present paper is to discuss Beale-Nishida's results [2] more precisely and to give a complete proof to their decay estimates. J. T. Beale and T. Nishida studied the decay properties of solutions to viscous surface waves with capillarity more than twenty years ago in [2], based upon the result of existence of smooth solution to a nonlinear problem [1]. They gave delicate analysis on linearized operators by showing that a branch of continuous spectra of negative real numbers accumulate at the origin, to conclude decay of the solutions in algebraic orders. They applied the theory of analytic perturbation to a family of two-point boundary value problems of ODE's, but they omitted writing details in [2]. The author considers that their results are still significant and play an important role in the analysis of nonlinear boundary value problems close to a constant state. To the author's knowledge, no one has given complete proofs to their results, and we give supplementary remarks on their approach.

Following [2], we state the problem as follows. We denote a fluid domain of constant depth $b(>0)$ by $\Omega$, and

$$
\Omega=\left\{(\boldsymbol{x}, y) \in \mathbb{R}^{3}: \boldsymbol{x} \in \mathbb{R}^{2},-b<y<0\right\}
$$

We consider the fluid domain bounded by a free surface $S_{F}$ from above, and by a rigid flat bottom $S_{B}$ from below. We denote the fluid velocity by $\boldsymbol{u}=\left(u_{1}, u_{2}, u_{3}\right)(t, \boldsymbol{x}, y)$ and the pressure by $q(t, \boldsymbol{x}, y)$, and we suppose the elevation of free surface to be given by a graph $y=\eta(t, \boldsymbol{x})$. Then our aimed system linearized around the equilibrium is written as follows:

$$
\begin{align*}
\frac{\partial \eta}{\partial t}-u_{3} & =0 & & \text { on } S_{F}  \tag{1}\\
\frac{\partial \boldsymbol{u}}{\partial t}-\nu \Delta \boldsymbol{u}+\nabla q & =0 & & \text { in } \Omega  \tag{2}\\
\nabla \cdot \boldsymbol{u} & =0 & & \text { in } \Omega  \tag{3}\\
\frac{\partial u_{i}}{\partial y}+\frac{\partial u_{3}}{\partial x_{i}} & =0 & & i=1,2 \quad \text { on } S_{F}  \tag{4}\\
q-2 \nu \frac{\partial u_{3}}{\partial y}-(g-\beta \Delta) \eta & =0 & & \text { on } S_{F}  \tag{5}\\
\boldsymbol{u} & =0 & & \text { on } S_{B} \tag{6}
\end{align*}
$$

Here $\nu, g$ and $\beta$ are given positive constants. The inhomogeneous functions in (2) and (5) are neglected here for simplicity. This system is accompanied by an initial data

$$
\begin{equation*}
(\eta, \boldsymbol{u})=(h, \boldsymbol{f}) \quad \text { at } t=0 \tag{7}
\end{equation*}
$$

The author would like to express his thanks to Professor Takaaki Nishida and Professor Yuusuke Iso for their fruitful comments and warm encouragement. The author is also greatly indebted to referee for his valuable comments on the present manuscript.

## 2. Resolvents of the Linearized Operator

We formulate (11)-(6) in an operator form according to [2]. Let an operator $P$ be the Helmholtz projection defined as

$$
L^{2}(\Omega)=P L^{2}(\Omega) \oplus\left\{\nabla \phi: \phi \in H^{1}(\Omega), \phi=0 \text { on } S_{F}\right\}
$$

and decompose the pressure term $\nabla q$ as $P \nabla q=\nabla \pi^{(1)}+\nabla \pi^{(2)}$, where

$$
\begin{aligned}
\Delta \pi^{(i)} & =0 \quad \text { in } \Omega, & \frac{\partial \pi^{(i)}}{\partial y}=0 \quad \text { on } S_{B}(i=1,2), \\
\pi^{(1)} & =2 \nu \frac{\partial u_{3}}{\partial y}, & \pi^{(2)}=(g-\beta \Delta) \eta \quad \text { on } S_{F}
\end{aligned}
$$

The system (11)-(6) is reduced to the following evolution equations in $H^{1}\left(\mathbb{R}^{2}\right)$ $\times P L^{2}(\Omega)$

$$
\begin{aligned}
\frac{\partial \eta}{\partial t}-R \boldsymbol{u}=0 & \text { on } S_{F} \\
\frac{\partial \boldsymbol{u}}{\partial t}+A \boldsymbol{u}+R^{*}(g-\beta \Delta) \eta=0 & \text { in } \Omega
\end{aligned}
$$

Here we define $R \boldsymbol{u}:=\left.u_{3}\right|_{S_{F}}, A \boldsymbol{u}:=-\nu P \Delta \boldsymbol{u}+\nabla \pi^{(1)}$ and $R^{*}(g-\beta \Delta) \eta:=$ $\nabla \pi^{(2)}$.

We introduce a formal operator $G$ by

$$
G\binom{\eta}{\boldsymbol{u}}=\left(\begin{array}{cc}
0 & R \\
-R^{*}(g-\beta \Delta) & -A
\end{array}\right)\binom{\eta}{\boldsymbol{u}} \quad \text { in } H^{1}\left(\mathbb{R}^{2}\right) \times P L^{2}(\Omega),
$$

$\mathcal{D}(G) \supset W=\left\{{ }^{t}(\eta, \boldsymbol{u}) \in H^{5 / 2}\left(\mathbb{R}^{2}\right) \times P H^{2}(\Omega): \boldsymbol{u}\right.$ satisfies (3), (4) and (6) $\}$, and we consider

$$
\begin{equation*}
(\lambda-G)\binom{\eta}{\boldsymbol{u}}=\binom{h}{f} \tag{8}
\end{equation*}
$$

for $(h, \boldsymbol{f}) \in H^{1}\left(\mathbb{R}^{2}\right) \times P L^{2}(\Omega)$. Since $G$ is a dissipative operator, we have (i) the right half plane belongs to the resolvent set, (ii) G has a closed extension, which is also denoted by $G$, and it generates a contraction semigroup $e^{t G}$.

Now we turn to discuss the solvability of equation (8) under the restriction $(h, \boldsymbol{f}) \in H^{5 / 2}\left(\mathbb{R}^{2}\right) \times P L^{2}(\Omega)$.

Lemma 1. (2, Lemma 3.3]) For any $\varepsilon_{0}, \varepsilon_{1}>0$, there exists $c_{0}=c_{0}\left(\varepsilon_{0}, \varepsilon_{1}\right)>$ 0 such that the operator $(\lambda-G)$ has a bounded inverse satisfying

$$
\begin{aligned}
\|\boldsymbol{u}\|_{H^{2}(\Omega)}+|\lambda|\|\boldsymbol{u}\|_{L^{2}(\Omega)}+\left\|\lambda^{-1} R \boldsymbol{u}\right\|_{H^{5 / 2}\left(S_{F}\right)} & +\|\eta\|_{H^{5 / 2}\left(\mathbb{R}^{2}\right)}+|\lambda|\|\eta\|_{H^{3 / 2}\left(\mathbb{R}^{2}\right)} \\
& \leq c_{0}\left(\|h\|_{H^{5 / 2}\left(\mathbb{R}^{2}\right)}+\|\boldsymbol{f}\|_{L^{2}(\Omega)}\right)
\end{aligned}
$$

for $\lambda \in\left\{\lambda \in \mathbb{C}:|\lambda|>\varepsilon_{0},|\arg \lambda|<\pi-\varepsilon_{1}\right\}$.

We should refer to the resolvent near $\lambda=0$. We denote by $\hat{f}$ the partial Fourier transform of $f$ with respect to $\boldsymbol{x}$.

Lemma 2. ([2, Lemma 3.4]) Let $\operatorname{supp} \hat{h}$ and $\operatorname{supp} \hat{\boldsymbol{f}}(\cdot, y)$ belong to $\{\xi \in$ $\left.\mathbb{R}^{2}:|\xi|>\xi_{0}\right\}$ for $\xi_{0}>0$. Then there exist constants $r_{0}>0$ and $c_{1}=$ $c_{1}\left(\xi_{0}, r_{0}\right)>0$ such that for $|\lambda|<r_{0}$, equation (8) has a $\operatorname{solution}^{t}(\eta, \boldsymbol{u})$ satisfying

$$
\|\boldsymbol{u}\|_{H^{2}(\Omega)}+\|\eta\|_{H^{5 / 2}\left(\mathbb{R}^{2}\right)} \leq c_{1}\left(\|h\|_{H^{5 / 2}\left(\mathbb{R}^{2}\right)}+\|\boldsymbol{f}\|_{L^{2}(\Omega)}\right)
$$

We remark that the function $(\eta, \boldsymbol{u})$ given in Lemma 1 and Lemma 2 can be considered holomorphic with respect to $\lambda$ as in the case of usual resolvent problems.

In order to analyze the spectrum near the origin, we apply the partial Fourier transform to (8) with respect to $\boldsymbol{x}$ to obtain a family of ODE's parametrized by $\xi=\left(\xi_{1}, \xi_{2}\right)$ :

$$
\begin{align*}
& \lambda \hat{\eta}-\hat{u}_{3}=\hat{h} \text { on } y=0,  \tag{9}\\
& \lambda \hat{\boldsymbol{u}}-\nu\left(D^{2}-|\xi|^{2}\right) \hat{\boldsymbol{u}}+(i \xi, D) \hat{q}=\hat{\boldsymbol{f}} \text { in } I,  \tag{10}\\
&(i \xi, D) \cdot \hat{\boldsymbol{u}}=0 \text { in } I,  \tag{11}\\
& D \hat{u}_{j}+i \xi_{j} \hat{u}_{3}=0  \tag{12}\\
& j=1,2 \quad \text { on } y=0,  \tag{13}\\
&-2 \nu D \hat{u}_{3}+\hat{q}-\left(g+\beta|\xi|^{2}\right) \hat{\eta}=0  \tag{14}\\
& \text { on } y=0, \\
& \hat{\boldsymbol{u}}=0
\end{aligned} \begin{aligned}
\text { on } y=-b,
\end{align*}
$$

where $I=(-b, 0)$ and $D=\partial / \partial y$. We rewrite the system of these equations in an operator form such as

$$
(\lambda-\hat{G}(\xi))\binom{\hat{\eta}}{\hat{\boldsymbol{u}}}=\binom{\hat{h}(\xi)}{\hat{\boldsymbol{f}}(\xi, y)} \quad \text { in } P_{\xi} X
$$

where

$$
\begin{aligned}
X & =\left\{{ }^{t}(\hat{\eta}(\xi), \hat{\boldsymbol{u}}(\xi, \cdot)) \in \mathbb{C} \times L^{2}(I)\right\}, \\
P_{\xi} X & =\left\{{ }^{t}(\hat{\eta}(\xi), \hat{\boldsymbol{u}}(\xi, \cdot)) \in X: i \xi_{1} \hat{u}_{1}+i \xi_{2} \hat{u}_{2}+D \hat{u}_{3}=0 \text { in } I\right\},
\end{aligned}
$$

$$
\mathcal{D}(\hat{G}(\xi)) \supset\left\{^{t}(\hat{\eta}, \hat{\boldsymbol{u}}) \in \mathbb{C} \times H^{2}(I): \hat{\boldsymbol{u}} \text { satisfies (11), (12) and (14) }\right\} .
$$

For each $\xi \in \mathbb{R}^{2}$, the operator $\hat{G}(\xi)$ in $P_{\xi} X$ is dissipative, and it has a closed extension which we denote by $\hat{G}(\xi)$ again. The spectra of $\hat{G}(\xi)$ is determined in the next proposition which is a slight modification of [2, Lemma 3.5].

Proposition 3. There exist $\xi_{0}>0$ and $0<r_{1}<\nu(\pi / 2 b)^{2}$ such that if $|\xi|<\xi_{0}$, then the spectrum of $\hat{G}(\xi)$ contained in $\left\{\lambda \in \mathbb{C}:|\lambda|<r_{1}\right\}$ consists of a simple eigenvalue. Furthermore, the eigenvalue and the eigenvector are analytic in $\xi$ and have the following expansions.

$$
\left\{\begin{align*}
\lambda & =-\frac{g b^{3}}{3 \nu}|\xi|^{2}+O\left(|\xi|^{3}\right),  \tag{15}\\
\hat{\eta}^{e} & =1+O(|\xi|) \\
\hat{u}_{j}^{e} & =i \frac{g}{2 \nu}\left(y^{2}-b^{2}\right) \xi_{j}+O\left(|\xi|^{2}\right), \quad j=1,2 \\
\hat{u}_{3}^{e} & =\frac{g}{2 \nu}\left(\frac{y^{3}}{3}-b^{2} y-\frac{2 b^{3}}{3}\right)|\xi|^{2}+O\left(|\xi|^{3}\right)
\end{align*}\right.
$$

This proposition is a key to conclude decay properties of the solutions, but Beale-Nishida omitted its proof in [2]. The author will complete its proof in the present paper. We will prove this proposition in several steps. Firstly we have the following preparatory lemma.

Lemma 4. The spectrum of $\hat{G}(0)$ in $\left\{\lambda \in \mathbb{C}:|\lambda|<\nu(\pi / 2 b)^{2}\right\}$ consists of a simple eigenvalue $\lambda=0$ associated with eigenvector $(\hat{\eta}(0), \hat{\boldsymbol{u}}(0, y))=(1,0)$.

Proof. If we put $\xi=0$ in (9)-(14), we have

$$
\hat{u}_{3}(0, y)=0, \quad \lambda \hat{\eta}(0)=\hat{h}(0), \quad \hat{q}(0, y)=\int_{0}^{y} \hat{f}(0, z) d z+g \hat{\eta}(0),
$$

and

$$
\begin{align*}
\left(\lambda-\nu D^{2}\right) \hat{u}_{j}(0, y) & =\hat{f}_{j}(0, y) \quad j=1,2 \quad \text { in } I,  \tag{16}\\
\hat{u}_{j}(0,-b) & =0 \quad j=1,2,  \tag{17}\\
D \hat{u}_{j}(0,0) & =0 \quad j=1,2 . \tag{18}
\end{align*}
$$

For (16)-(18), the largest spectrum of the operator $D^{2}$ with the boundary conditions (17) and (18) is an eigenvalue $-(\pi / 2 b)^{2}$. Hence, the set $\{\lambda \in$ $\left.\mathbb{C}: \operatorname{Re} \lambda>-\nu(\pi / 2 b)^{2}\right\} \backslash\{0\}$ is in resolvent set of (9)-(14).

On the other hand, we see that $\lambda=0$ is an eigenvalue associated with eigen vector $(\hat{\eta}(0), \hat{\boldsymbol{u}}(0, y))=(1, \mathbf{0})$.

Before proceeding the next step, we recall the definition of a holomorphic family of unbounded operators ( 5 , VII §1]).

Definition 5. Let $X, Y$ be Hilbert spaces.
(1) A family of bounded operators $\{S(\xi)\} \subset \mathscr{B}(X, Y)$ are said to be boundedholomorphic, if each $\xi$ has a neighborhood in which $S(\xi)$ is bounded and a complex valued function $(S(\xi) U, V)_{Y}$ is holomorphic in $\xi$ for every $U \in X$ and $V \in Y$.
(2) A family of closed operators $\{\hat{G}(\xi)\} \subset \mathscr{C}(X)$ are said to be holomorphic, if there are a Hilbert space $Y$ and two families of bounded-holomorphic operators $\{S(\xi)\} \subset \mathscr{B}(Y, X),\{T(\xi)\} \subset \mathscr{B}(Y, X)$ such that $S(\xi)$ maps $Y$ to $\mathcal{D}(\hat{G}(\xi))$ bijectively and $\hat{G}(\xi) S(\xi)=T(\xi)$.

Lemma 6. $\{\hat{G}(\xi)\}$ are holomorphic in $\xi$ near the origin $\xi=0$.
Proof. In order to avoid the boundary conditions of the domain $\mathcal{D}(\hat{G}(\xi))$ depending on $\xi$, we adopt the associated sesqui-linear form

$$
\mathfrak{g}(\xi)[U, V]=\left(g+\beta|\xi|^{2}\right)\left\{\left(\hat{u}_{3}, \hat{\theta}\right)_{\mathbb{C}}-\left(\hat{\eta}, \hat{v}_{3}\right)_{\mathbb{C}}\right\}+\frac{\nu}{2} \int_{I} \widehat{\mathbf{S}(\boldsymbol{u})}: \overline{\widehat{\mathbf{S}(\boldsymbol{v})}} d y
$$

where $U={ }^{t}(\hat{\eta}, \hat{\boldsymbol{u}}), V={ }^{t}(\hat{\theta}, \hat{\boldsymbol{v}}) \in \mathcal{D}(\mathfrak{g}(\xi)), \mathbf{S}(\boldsymbol{u})_{i j}=\partial u_{i} / \partial x_{j}+\partial u_{j} / \partial x_{i}$ and $\left(a_{i j}\right):\left(b_{i j}\right)=\sum_{i j} a_{i j} b_{i j}$. It is easy to see that the sesqui-linear form $\mathfrak{g}$ has the following property.

Lemma 7. $\mathfrak{g}(\xi)$ is a densely defined, closed, and m-sectorial sesqui-linear form in $P_{\xi} X$, whose domain is

$$
\mathcal{D}(\mathfrak{g}(\xi))=\left\{{ }^{t}(\hat{\eta}, \hat{\boldsymbol{u}}) \in P_{\xi} X: \hat{\boldsymbol{u}}(\xi, \cdot) \in{ }_{0} H^{1}(I)\right\},
$$

where ${ }_{0} H^{1}(I)=\left\{\hat{\boldsymbol{u}}(\xi, \cdot) \in H^{1}(I): \hat{\boldsymbol{u}}(\xi,-b)=0\right\}$.

We are ready to construct a bounded-holomorphic operator which maps $\mathcal{D}(\mathfrak{g}(\xi))$ onto $X$. To this end, define a sesqui-linear form $\mathfrak{h}(\xi):=1+\operatorname{Re} \mathfrak{g}(\xi)$ with its associated operator $S(\xi):=S_{\mathfrak{h}(\xi)}$, and we have

$$
S(\xi) U=\binom{\hat{\eta}}{\hat{\boldsymbol{u}}}+\binom{0}{-\nu\left(D^{2}-|\xi|^{2}\right) \hat{\boldsymbol{u}}+(i \xi, D) \pi^{(1)}} .
$$

Then it is easy to check the following three facts: (i) $S(\xi)$ is essentially selfadjoint, and bounded from below. (ii) $\left\{S^{-1}(\xi)\right\}$ are bounded-holomorphic on $X$. (iii) $\left\{S^{-1 / 2}(\xi)\right\}$ are bounded-holomorphic, and map $X$ to $\mathcal{D}(\mathfrak{g}(\xi))$ bijectively.

Since

$$
S^{-1 / 2}(\xi) U \in \mathcal{D}\left(S^{1 / 2}(\xi)\right)=\mathcal{D}(\mathfrak{h}(\xi))=\mathcal{D}(\operatorname{Re} \mathfrak{g}(\xi)) \quad \text { for all } U \in X
$$

we define a form $\mathfrak{g}_{0}(\xi)$ on $X$ by

$$
\mathfrak{g}_{0}(\xi)[U, V]=\mathfrak{g}(\xi)\left[S^{-1 / 2}(\xi) U, S^{-1 / 2}(\xi) V\right] .
$$

The sesqui-linear form $\mathfrak{g}_{0}(\xi)$ is closed, sectorial, and defined everywhere in $X$, and its family are bounded-holomorphic on $X$. Thus we have a family of bounded-holomorphic operators $\left\{\hat{G}_{0}(\xi)\right\}$ by

$$
\mathfrak{g}_{0}(\xi)[U, V]=\left(\hat{G}_{0}(\xi) U, V\right)_{X},
$$

and

$$
\begin{equation*}
\hat{G}(\xi) S^{-1}(\xi)=S^{1 / 2}(\xi) \hat{G}_{0}(\xi) S^{-1 / 2}(\xi) \tag{19}
\end{equation*}
$$

Since the right side of (19) is holomorphic and the left side is bounded, $\{\hat{G}(\xi)\}$ are holomorphic. This completes the proof of Lemma 6 .

We now continue the proof of Proposition 3 From Lemma 4 and Lemma 6 the spectrum of $\hat{G}(\xi)$ near the origin consists of a simple eigenvalue $\lambda(\xi)$ associated with the eigenvector $\varphi(\xi)$, both of which are holomorphic in $\xi$ by the following Lemma. This is the special case of general analytic perturbation theory. For the proof, the reader may refer to [5, II §1-1 and VII $\S 1-3]$, and also to [4, II §5-7] for holomorphy in several variables.

Lemma 8. Let $\{T(\xi)\}$ be a family of holomorphic operators in $X$. Assume that the spectra $\Sigma(0)$ of $T(0)$ is separated into $\{\lambda(0)\} \cup \Sigma^{\prime \prime}(0)$ by a rectifiable, simple closed curve $\Gamma_{c}$, and assume that $\lambda(0)$ is a simple eigenvalue. Then the spectra $\Sigma(\xi)$ of $T(\xi)$ are also separated by $\Gamma_{c}$ into $\{\lambda(\xi)\} \cup \Sigma^{\prime \prime}(\xi)$ for $|\xi|<r_{1}$ with the associated decomposition $X=\operatorname{span}\langle\varphi(\xi)\rangle \oplus P^{\prime \prime}(\xi) X$. In particular $\lambda(\xi)$ is a simple eigenvalue associated with the eigenvector $\varphi(\xi)$, both of which are holomorphic in $\xi$.

Since we know $\lambda(0)$ is simple, we can choose an eigenvector and its eigenvalue which are holomorphic in $\xi$ :

$$
\begin{cases}\lambda(\xi)=\sum_{|j| \geq 1} \lambda_{j} \cdot \xi^{j}, & \hat{\boldsymbol{u}}(\xi, y)=\sum_{|j| \geq 1} \hat{\boldsymbol{u}}_{j}(y) \cdot \xi^{j}  \tag{20}\\ \hat{\eta}(\xi)=1+\sum_{|j| \geq 1} \hat{\eta}_{j} \cdot \xi^{j}, & \hat{q}(\xi, y)=\hat{q}(0, y)+\sum_{|j| \geq 1} \hat{q}_{j}(y) \cdot \xi^{j}\end{cases}
$$

Here we adopt the vector notation $j=\left(j_{1}, j_{2}\right) \in \mathbb{N}_{0}^{2}, \lambda_{j} \cdot \xi^{j}=\lambda_{j_{1}} \xi_{1}^{j_{1}}+\lambda_{j_{2}} \xi_{2}^{j_{2}}$, and so on. If we put (20) in (9)-(14) and set $(\hat{h}, \hat{\mathbf{f}})=(0, \mathbf{0})$, we have $\hat{q}(0, y)=$ $g$. We next calculate the coefficients of order $\xi$ of (9)-(14) and obtain for $|j|=1$ :

$$
\lambda_{j}=0, \quad \sum_{|j|=1} \hat{\boldsymbol{u}}_{j}(y) \cdot \xi^{j}=\left(i \frac{g}{2 \nu}\left(y^{2}-b^{2}\right) \xi_{1}, i \frac{g}{2 \nu}\left(y^{2}-b^{2}\right) \xi_{2}, 0\right)
$$

and of order $|\xi|^{2}$ for $|j|=2$ :

$$
\lambda_{j}=-\frac{g b^{3}}{3 \nu}|\xi|^{2}, \quad \sum_{|j|=2} \hat{\boldsymbol{u}}_{3}(y) \cdot \xi^{j}=\frac{g}{2 \nu}\left(\frac{y^{3}}{3}-b^{2} y-\frac{2}{3} b^{3}\right)|\xi|^{2}
$$

Hence we have determined some of the coefficients of the power series in $\xi$. We complete the proof of Proposition 3.

Remark 9. The eigenvalues $\{\lambda(\xi)\}$ of the family of ordinary differential operators $\{\hat{G}(\xi)\}$ given in Proposition 3 correspond to continuous spectra of the partial differential operator $G$. Indeed, from the proof of Proposition 3, (i) if $\lambda\left(\xi_{0}\right)$ is an eigenvalue of $G$, then the eigenvector $U(\boldsymbol{x}, y)$ does not vanish. However $\hat{U}$ is supported only on $\xi=\xi_{0}$, and $\hat{U}=0$ holds for almost every $\xi$. Hence $\lambda(\xi)$ is not an eigenvalue of $G$. (ii) We see that the range of $\left(\lambda\left(\xi_{0}\right)-G\right)$ is dense in $H^{5 / 2}\left(\mathbb{R}^{2}\right) \times P L^{2}(\Omega)$, and it implies that $\lambda\left(\xi_{0}\right)$ is not a residual
spectrum of $G$. (iii) Meanwhile, we can choose $\left\{F_{n}\right\} \subset H^{5 / 2}\left(\mathbb{R}^{2}\right) \times P L^{2}(\Omega)$ which satisfy $\left\|F_{n}\right\|_{H^{5 / 2}\left(\mathbb{R}^{2}\right) \times P L^{2}(\Omega)}=1$ and $\left\|\left(\lambda\left(\xi_{0}\right)-G\right)^{-1} F_{n}\right\|_{L^{2}\left(\mathbb{R}^{2}\right) \times P L^{2}(\Omega)} \rightarrow$ $\infty$ as $n \rightarrow \infty$. Therefore, $\{\lambda(\xi)\}$ are a family of continuous spectra of the partial differential operator $G$.

By Lemma 1 and Lemma 2 the inverse $(\lambda-\hat{G}(\xi))^{-1}$ is holomorphic in $\left\{\lambda \in \mathbb{C}: \operatorname{Re} \lambda>-r_{0}\right\}$ for $|\xi| \geq \xi_{0}$, and we take the path of Dunford integral in the left half plane to obtain

$$
\begin{align*}
\binom{\hat{\eta}(t, \xi)}{\hat{\boldsymbol{u}}(t, \xi, y)} & =e^{t \hat{G}(\xi)}\binom{\hat{\eta}_{0}(\xi)}{\hat{\boldsymbol{u}}_{0}(\xi, y)} \\
& =\frac{1}{2 \pi i} \lim _{\tau \rightarrow \infty} \int_{-r_{0}-i \tau}^{-r_{0}+i \tau} e^{\lambda t}(\lambda-\hat{G}(\xi))^{-1}\binom{\hat{\eta}_{0}(\xi)}{\hat{\boldsymbol{u}}_{0}(\xi, y)} d \lambda . \tag{21}
\end{align*}
$$

On the other hand, by Lemma पand Proposition 3 the inverse $(\lambda-\hat{G}(\xi))^{-1}$ is holomorphic in $\left\{\lambda \in \mathbb{C}: \operatorname{Re} \lambda>-r_{1}\right\}$ except the pole $\lambda=\lambda(\xi)$ for $|\xi|<\xi_{0}$, and the integral path should be modified (3, VII. 4 Theorem 22]) as

$$
\begin{align*}
\binom{\hat{\eta}(t, \xi)}{\hat{\boldsymbol{u}}(t, \xi, y)}= & \frac{1}{2 \pi i}\left\{\oint_{C_{\xi}}+\lim _{\tau \rightarrow \infty} \int_{-r_{1}-i \tau}^{-r_{1}+i \tau}\right\} e^{\lambda t}(\lambda-\hat{G}(\xi))^{-1}\binom{\hat{\eta}_{0}(\xi)}{\hat{\boldsymbol{u}}_{0}(\xi, y)} d \lambda, \\
= & e^{\lambda(\xi) t} P^{\prime}(\xi)\binom{\hat{n}_{0}(\xi)}{\hat{\boldsymbol{u}}_{0}(\xi, y)} \\
& +\frac{1}{2 \pi i} \lim _{\tau \rightarrow \infty} \int_{-r_{1}-i \tau}^{-r_{1}+i \tau} e^{\lambda t}(\lambda-\hat{G}(\xi))^{-1}\binom{\hat{\eta}_{0}(\xi)}{\hat{\boldsymbol{u}}_{0}(\xi, y)} d \lambda . \tag{22}
\end{align*}
$$

Here we note that $C_{\xi}$ is a positively-oriented small circle enclosing $\lambda=\lambda(\xi)$ but excluding the line $\left\{\lambda \in \mathbb{C}: \operatorname{Re} \lambda=-r_{1}\right\}$, and we denote by $P^{\prime}(\xi)$ the eigenprojection associated with the eigenvalue $\lambda=\lambda(\xi)$, which is holomorphic in $\xi$ :

$$
P^{\prime}(\xi)\binom{\hat{\eta}_{0}}{\hat{\boldsymbol{u}}_{0}}=\frac{\left\langle\left(\hat{\eta}_{0}, \hat{\boldsymbol{u}}_{0}\right),\left(\hat{\eta}^{e}, \hat{\boldsymbol{u}}^{e}\right)\right\rangle_{\mathbb{C} \times L^{2}(I)}}{\left|\hat{\eta}^{e}(\xi)\right|^{2}+\left\|\hat{\boldsymbol{u}}^{e}(\xi, \cdot)\right\|_{L^{2}(I)}^{2}}\binom{\hat{\eta}^{e}}{\hat{\boldsymbol{u}}^{e}} .
$$

Here by virtue of (15),

$$
\begin{equation*}
\left|\frac{\left\langle\left(\hat{\eta}_{0}, \hat{\boldsymbol{u}}_{0}\right),\left(\hat{\eta}^{e}, \hat{\boldsymbol{u}}^{e}\right)\right\rangle_{\mathbb{C} \times L^{2}(I)}}{\left|\hat{\eta}^{e}(\xi)\right|^{2}+\left\|\hat{\boldsymbol{u}}^{e}(\xi, \cdot)\right\|_{L^{2}(I)}^{2}}\right| \leq c_{1}\left(\left|\hat{\eta}_{0}(\xi)\right|+|\xi| \cdot\left\|\hat{\boldsymbol{u}}_{0}(\xi, \cdot)\right\|_{L^{2}(I)}\right) . \tag{23}
\end{equation*}
$$

We denote $Q_{\eta}{ }^{t}(\eta, \boldsymbol{u})=\eta$ and $Q_{u}{ }^{t}(\eta, \boldsymbol{u})=\boldsymbol{u}$. If we take (21), (22) and (23) into account, we have for $\alpha \in[0,5 / 2]$ and $r_{2}=\min \left(r_{0}, r_{1}\right)$,

$$
\begin{aligned}
\| & \eta(t)\left\|_{\dot{H}^{\alpha}\left(\mathbb{R}_{x}^{2}\right)}^{2}=\right\||\xi|^{\alpha} \hat{\eta}(t, \xi) \|_{L^{2}\left(\mathbb{R}_{\xi}^{2}\right)}^{2} \\
\leq & 2 c_{1}^{2} \int_{|\xi|<\xi_{0}}|\xi|^{2 \alpha} e^{2 \lambda(\xi) t}\left(\left|\hat{\eta}_{0}(\xi)\right|^{2}+|\xi|^{2}\left\|\hat{\boldsymbol{u}}_{0}(\xi, \cdot)\right\|_{L^{2}(I)}^{2}\right)\left|\hat{\eta}^{e}(\xi)\right|^{2} d \xi \\
& +\int_{|\xi|<\xi_{0}} \frac{|\xi|^{2 \alpha}}{4 \pi^{2}} \lim _{\tau \rightarrow \infty}\left|\int_{-r_{1}-i \tau}^{-r_{1}+i \tau} e^{\lambda t} Q_{\eta}(\lambda-\hat{G}(\xi))^{-1}\binom{\hat{\eta}_{0}(\xi)}{\hat{\boldsymbol{u}}_{0}(\xi, y)} d \lambda\right|^{2} d \xi \\
& +\int_{|\xi| \geq \xi_{0}} \frac{|\xi|^{2 \alpha}}{4 \pi^{2}} \lim _{\tau \rightarrow \infty}\left|\int_{-r_{0}-i \tau}^{-r_{0}+i \tau} e^{\lambda t} Q_{\eta}(\lambda-\hat{G}(\xi))^{-1}\binom{\hat{\eta}_{0}(\xi)}{\hat{\boldsymbol{u}}_{0}(\xi, y)} d \lambda\right|^{2} d \xi \\
\leq & c_{2}\left\{\left\|\hat{\eta}_{0}\right\|_{L^{\infty}\left(\mathbb{R}_{\xi}^{2}\right)}^{2} \int_{|\xi|<\xi_{0}}|\xi|^{2 \alpha} e^{2 \lambda(\xi) t} d \xi\right. \\
& \left.+t^{-\alpha-1} \int_{|\xi|<\xi_{0}}\left(t|\xi|^{2}\right)^{\alpha+1} e^{2 \lambda(\xi) t}\left\|\hat{\boldsymbol{u}}_{0}(\xi, \cdot)\right\|_{L^{2}(I)}^{2} d \xi\right\} \\
& +c_{3} e^{-2 r_{2} t}\left(\left\|\eta_{0}\right\|_{H^{5 / 2}\left(\mathbb{R}_{x}^{2}\right)}^{2}+\left\|\boldsymbol{u}_{0}\right\|_{L^{2}(\Omega)}^{2}\right), \\
\leq & c_{4} t^{-\alpha-1}\left(\left\|\eta_{0}\right\|_{H^{5 / 2}\left(\mathbb{R}_{x}^{2}\right)}^{2}+\left\|\eta_{0}\right\|_{L^{1}\left(\mathbb{R}_{x}^{2}\right)}^{2}+\left\|\boldsymbol{u}_{0}\right\|_{L^{2}(\Omega)}^{2}\right) .
\end{aligned}
$$

On the other hand, since $\hat{\boldsymbol{u}}^{e}(\xi, \cdot)$ is $O(|\xi|)$, we have for $\beta \in[0,2]$,

$$
\begin{aligned}
& \|\left\|\partial_{x}^{\beta} \boldsymbol{u}(t)\right\|_{L^{2}(\Omega)}^{2}=\left\||\xi|^{\beta} \hat{\boldsymbol{u}}(t, \xi, y)\right\|_{L^{2}\left(\mathbb{R}_{\xi}^{2} \times I\right)}^{2} \\
& \leq 2 c_{1}^{2} \int_{|\xi|<\xi_{0}}|\xi|^{2 \beta} e^{2 \lambda(\xi) t}\left(\left|\hat{\eta}_{0}(\xi)\right|^{2}+|\xi|^{2}\left\|\hat{\boldsymbol{u}}_{0}(\xi, \cdot)\right\|_{L^{2}(I)}^{2}\right)\left\|\hat{\boldsymbol{u}}^{e}(\xi, \cdot)\right\|_{L^{2}(I)} d \xi \\
&+\int_{\left\{|\xi|<\xi_{0}\right\} \times I} \frac{|\xi|^{2 \beta}}{4 \pi^{2}} \lim _{\tau \rightarrow \infty}\left|\int_{-r_{1}-i \tau}^{-r_{1}+i \tau} e^{\lambda t} Q_{u}(\lambda-\hat{G}(\xi))^{-1}\binom{\hat{\eta}_{0}(\xi)}{\hat{\boldsymbol{u}}_{0}(\xi, y)} d \lambda\right|^{2} d \xi d y \\
& \quad+\int_{\left\{|\xi| \geq \xi_{0}\right\} \times I} \frac{|\xi|^{2 \beta}}{4 \pi^{2}} \lim _{\tau \rightarrow \infty}\left|\int_{-r_{0}-i \tau}^{-r_{0}+i \tau} e^{\lambda t} Q_{u}(\lambda-\hat{G}(\xi))^{-1}\binom{\hat{n}_{0}(\xi)}{\hat{\boldsymbol{u}}_{0}(\xi, y)} d \lambda\right|^{2} d \xi d y \\
& \leq c_{5}\left\{\left\|\hat{\eta}_{0}\right\|_{L^{\infty}\left(\mathbb{R}_{\xi}^{2}\right)}^{2} \int_{|\xi|<\xi_{0}}|\xi|^{2(\beta+1)} e^{2 \lambda(\xi) t} d \xi\right. \\
&\left.+t^{-\beta-2} \int_{|\xi|<\xi_{0}}\left(t|\xi|^{2}\right)^{\beta+2} e^{2 \lambda(\xi) t}\left\|\hat{\boldsymbol{u}}_{0}(\xi, \cdot)\right\|_{L^{2}(I)}^{2} d \xi\right\} \\
&+c_{3} e^{-2 r_{2} t}\left(\left\|\eta_{0}\right\|_{H^{5 / 2}\left(\mathbb{R}_{x}^{2}\right)}^{2}+\left\|\boldsymbol{u}_{0}\right\|_{L^{2}(\Omega)}^{2}\right), \\
& \leq c_{6} t^{-\beta-2}\left(\left\|\eta_{0}\right\|_{H^{5 / 2}\left(\mathbb{R}_{x}^{2}\right)}^{2}+\left\|\eta_{0}\right\|_{L^{1}\left(\mathbb{R}_{x}^{2}\right)}^{2}+\left\|\boldsymbol{u}_{0}\right\|_{L^{2}(\Omega)}^{2}\right) .
\end{aligned}
$$

As for $\left\|\partial_{y}^{\beta} \boldsymbol{u}(t)\right\|_{L^{2}(\Omega)}$, if we apply $y$-derivatives to $\hat{\boldsymbol{u}}^{e}$, we have no gain of
the order of $\xi$. Hence $\left\|\partial_{y}^{\beta} \boldsymbol{u}(t)\right\|_{L^{2}(\Omega)}$ is $O\left(t^{-1}\right)$ for $\beta \in[0,2]$. We have thus obtained the following theorem, which is one of the main results of BealeNishida's paper [2].

Theorem 10. ([2, Theorem 3.1]) Let $E_{2}=\left\|\eta_{0}\right\|_{L^{1}\left(\mathbb{R}^{2}\right)}+\left\|\eta_{0}\right\|_{H^{5 / 2}\left(\mathbb{R}^{2}\right)}+$ $\left\|\boldsymbol{u}_{0}\right\|_{L^{2}(\Omega)}$. Then the solution to (11) -(7) has the decay rate:

$$
\begin{aligned}
\left\|\partial_{x}^{\alpha} \eta(t)\right\|_{L^{2}\left(\mathbb{R}^{2}\right)} & \leq c_{0} E_{2} t^{-(1+\alpha) / 2}, \quad 0 \leq \alpha \leq 5 / 2 \\
\|\boldsymbol{u}(t)\|_{H^{2}(\Omega)} & \leq c_{0} E_{2} t^{-1} .
\end{aligned}
$$

## References

1. J. T. Beale, Large-Time Regularity of Viscous surface waves, Arch. Rat. Mech. Anal., 84 (1984), 307-352.
2. J. T. Beale, and T. Nishida, Large-Time behavior of viscous surface waves, Recent topics in nonlinear PDE, II (Sendai, 1984), 1-14, North-Holland Math. Stud., 128, North-Holland, Amsterdam, 1985.
3. N. Dunford, and J. T. Schwartz, Linear Operators, Part I, Interscience Publishers, New York, 1958.
4. T. Kato, A Short Introduction to Perturbation Theory for Linear Operators, SpringerVerlag, New York-Berlin, 1982.
5. T. Kato, Perturbation Theory of Linear Operators, Springer-Verlag, Berlin-Heidelberg, 1995.
