

# *The spatially homogeneous Boltzmann Equation for Debye-Yukawa potential*

Yoshinori Morimoto

Kyoto University

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and Tong Yang.

# Collision cross section from Debye-Yukawa type potential

$$U(\rho) = \rho^{-1} e^{-\rho^s}, \quad \text{with } 0 < s < 2. \quad (1)$$

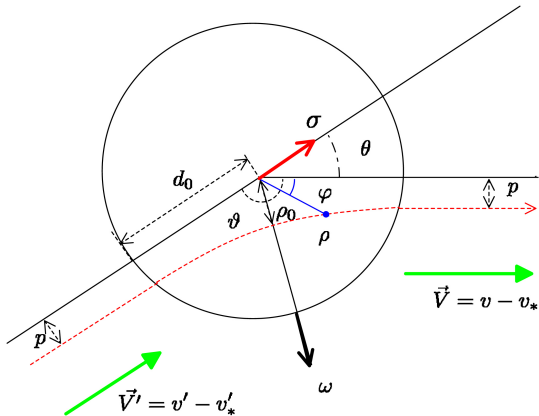
Here  $\rho$  is the distance between two interacting particles. Let  $\mathbf{z} = \mathbf{v} - \mathbf{v}_*$  be relative velocity and for  $\sigma \in \mathbb{S}^2$  put  $\mathbf{z} \cdot \sigma / |\mathbf{z}| = \cos \theta$ .  $\theta$  is the deviation angle after the collision. Denote  $V = |\mathbf{z}|$ . Then the Boltzmann collision cross section  $\mathbf{B}(V, \cos \theta)$  is defined by

$$\mathbf{B}(V, \cos \theta) = -\frac{V}{2 \sin \theta} \frac{\partial p^2}{\partial \theta},$$

where  $\mathbf{p} = \mathbf{p}(V, \theta, \mathbf{d}_0)$  is the impact parameter determined by the conservation of energy and angular momentum respectively:

$$\begin{cases} \frac{\mu}{2} (\dot{\rho}^2 + \rho^2 \dot{\varphi}^2) + U(\rho) = \frac{\mu}{2} V^2 + U(\mathbf{d}_0), & (\rho \leq \mathbf{d}_0), \\ \rho^2 \dot{\varphi} = p V^2, \end{cases}$$

where  $\mu = mm_*/(m + m_*)$  is the reduced mass from masses of two particles. We choose  $m = m_* = 2$ , and so  $\mu = 1$ .



*Figure:*  $(\rho, \varphi)$ , radial, angular coordinates in the plane of motion,  
 $d_0$ , the radius of the protection sphere,  $\vartheta = (\pi - \theta)/2$

By using  $\varphi$  as the independent variable to eliminate the time derivative, after integration, we have

$$\vartheta = \frac{1}{\sqrt{2}} V \rho \int_{\rho_0}^{d_0} \rho^{-2} \left[ \frac{V^2}{2} \left( 1 - \frac{\rho^2}{\rho_0^2} \right) - U(\rho) + U(d_0) \right]^{-1/2} d\rho + \sin^{-1} \left( \frac{\rho}{d_0} \right),$$

where  $\rho_0$  is the smallest distance between two particles which satisfies

$$\frac{1}{2} V^2 \left( 1 - \frac{\rho_0^2}{\rho_0^2} \right) = U(\rho_0) - U(d_0) > 0. \quad (2)$$

Note that

$$\rho(V, \theta, d_0) < \rho_0(V, \theta, d_0) \leq \rho \leq d_0.$$

## Lemma 1

For any  $(V, \theta) \neq (0, 0)$  we have  $\limsup_{d_0 \rightarrow \infty} \rho_0(V, \theta, d_0) < \infty$ .

## Proof.

If  $u_0 = (p/\rho_0)(V, \theta, d_0)$ , then

$$\frac{\theta}{2} = \int_{p/d_0}^1 \frac{dt}{\sqrt{1-t^2}} - \int_{\frac{p}{u_0 d_0}}^1 \left[ 1 - t^2 + \frac{2}{V^2 u_0^2} (U(\rho_0) - U(\frac{\rho_0}{t})) \right]^{-1/2} dt.$$

Suppose that  $\limsup \rho_0 = \infty$ . Then it follows from (2) that  $\limsup u_0 = 1$ , which leads us  $\theta = 0$ . This is a contradiction.  $\square$

Since the lemma shows  $\lim_{d_0 \rightarrow \infty} \rho_0/d_0 = 0$ , and the formula in the proof of Lemma 1 can be written as

$$\frac{\theta}{2} = \int_{\rho/d_0}^{\rho_0/d_0} \frac{dt}{\sqrt{1-t^2}} + \int_{\rho_0/d_0}^1 \frac{1}{\sqrt{1-t^2}} \left[ 1 - \left( 1 + \frac{2U(\rho_0) - 2U(\frac{\rho_0}{t})}{(1-t^2)(V^2 - 2U(\rho_0))} \right)^{-1/2} \right] dt,$$

$\bar{\rho}_0 = \lim_{d_0 \rightarrow \infty} \rho_0(V, \theta, d_0)$  exists and satisfies

$$\frac{\theta}{2} = \int_0^1 \frac{1}{\sqrt{1-t^2}} \left[ 1 - \left( 1 + \frac{2U(\bar{\rho}_0) - 2U(\frac{\bar{\rho}_0}{t})}{(1-t^2)(V^2 - 2U(\bar{\rho}_0))} \right)^{-1/2} \right] dt.$$

We are interested in  $\bar{\rho}_0$  and  $\bar{p} = \lim_{d_0 \rightarrow \infty} p(V, \theta, d_0)$  for a small  $\theta$ .

If  $\theta$  is small, then  $\frac{2U(\bar{\rho}_0) - 2U(\frac{\bar{\rho}_0}{t})}{(1-t^2)(V^2 - 2U(\bar{\rho}_0))}$  is small and  $U(\bar{\rho}_0)$  so. Therefore

by means of (2) we have  $\bar{\rho}_0 \approx \bar{\rho}$ , and

$$\frac{\theta}{2} \approx \int_0^1 \frac{1}{\sqrt{1-t^2}} \frac{U(\bar{\rho}) - U(\frac{\bar{\rho}}{t})}{(1-t^2)V^2} dt,$$

because  $V^2 - 2U(\bar{\rho}_0) = V^2 \bar{\rho}^2 / \bar{\rho}_0^2 \approx V^2$  and  $(1 + 2\varepsilon)^{-1/2} \approx 1 - \varepsilon$ .

Write  $\rho$  instead of  $\bar{\rho}$ . If  $U(\rho) = \rho^{1-n}$  the inverse power law, then we have

$$\frac{V^2 \theta}{2} \approx \rho^{1-n} \int_0^1 \frac{1 - t^{n-1}}{(1-t^2)^{3/2}} dt.$$

Therefore

$$B = -\frac{V}{2 \sin \theta} \frac{\partial \rho^2}{\partial \theta} \approx -\frac{V}{\theta} \frac{\partial}{\partial \theta} (V^2 \theta)^{2/(1-n)} \approx \frac{V}{\theta^2} (V^2 \theta)^{-2/(n-1)}.$$

If  $\theta$  is small, then  $\frac{2U(\bar{\rho}_0) - 2U(\frac{\bar{\rho}_0}{t})}{(1-t^2)(V^2 - 2U(\bar{\rho}_0))}$  is small and  $U(\bar{\rho}_0)$  so. Therefore by means of (2) we have  $\bar{\rho}_0 \approx \bar{\rho}$ , and

$$\frac{\theta}{2} \approx \int_0^1 \frac{1}{\sqrt{1-t^2}} \frac{U(\bar{\rho}) - U(\frac{\bar{\rho}}{t})}{(1-t^2)V^2} dt,$$

because  $V^2 - 2U(\bar{\rho}_0) = V^2 \bar{\rho}^2 / \bar{\rho}_0^2 \approx V^2$  and  $(1 + 2\varepsilon)^{-1/2} \approx 1 - \varepsilon$ . Writing  $p$  instead of  $\bar{\rho}$  and plugging  $U(\rho) = \rho^{-1} e^{-\rho^s}$  into the above integral, we have

$$\frac{V^2 \theta}{2} \approx \frac{1}{p} e^{-p^s} \int_0^1 (1-t^2)^{-3/2} (1 - t e^{-p^s(t^{-s}-1)}) dt := \frac{1}{p} e^{-p^s} g(p^2).$$

It should be noted that this formula holds only for  $p \gg 1$  (equivalently for  $0 < V^2 \theta \ll 1$ ).



If we put  $f(p^2) = \frac{1}{p} e^{-p^s}$ , then

$$\frac{V^2}{2} \approx \left. \frac{d(f(q)g(q))}{dq} \right|_{q=p^2} \frac{\partial p^2}{\partial \theta}$$

and

$$\frac{\partial p^2}{\partial \theta} \approx \frac{V^2 \theta}{2\theta(fg)'(p^2)} = \frac{1}{\theta} \left( \left. \frac{d \log(f(q)g(q))}{dq} \right|_{q=p^2} \right)^{-1}.$$

Notice that

$$\begin{aligned} 0 &\leq \frac{dg(q)}{dq} = \frac{d}{dq} \left( \int_0^1 (1-t^2)^{-3/2} (1 - te^{-q^{s/2}(t^s-1)}) dt \right) \\ &= \int_0^1 (1-t^2)^{-3/2} t(t^s-1) \frac{s}{2} q^{s/2-1} e^{-q^{s/2}(t^s-1)} dt \\ &= \int_0^{(1+\delta)^{-1/s}} \dots dt + \int_{(1+\delta)^{-1/s}}^1 \dots dt = I_1(q) + I_2(q), \end{aligned}$$

for any  $0 < \delta \ll 1$ .

Since  $g(q) \geq g(0)$ ,  $I_1(q) \leq C_\delta q^{s/2-1} e^{-\delta q^{s/2}}$  and

$$0 < I_2(q) \lesssim \int_0^\delta \frac{q^{s/2-1} e^{-q^{s/2}\tau}}{\sqrt{\tau}} d\tau \sim q^{s/4-1},$$

we have

$$\frac{d \log(f(q)g(q))}{dq} \approx -q^{s/2-1} \text{ for } q \gg 1.$$

Therefore we see

$$B(V, \theta) = -\frac{V}{\sin \theta} \frac{\partial p^2}{\partial \theta} \approx \frac{V}{\theta^2} p^{2-s} \approx \frac{V}{\theta^2} \left( \log \frac{1}{V^2 \theta} \right)^{\frac{2}{s}-1},$$

because we have  $p^s \approx \log \frac{1}{V^2 \theta}$ , in view of  $g(q) \lesssim q^{s/4}$ .

# Cauchy problem and Assumption of cross-section

*Spatially homogeneous equation for the measure initial datum*

$$\partial_t f = Q(f, f), \quad f(0, \mathbf{v}) = F_0(\mathbf{v}) \in P(\mathbb{R}^3), \quad (3)$$

where  $P(\mathbb{R}^3)$  denotes the set of all probability measures.

Furthermore,  $P_\alpha(\mathbb{R}^3)$ ,  $\alpha \geq 0$ , denotes the set of all probability measures  $F \in P(\mathbb{R}^3)$  such that

$$\int_{\mathbb{R}^3} |\mathbf{v}|^\alpha dF(\mathbf{v}) < \infty, \quad \int_{\mathbb{R}^3} v_j dF(\mathbf{v}) = 0, j = 1, 2, 3, \quad \text{if } \alpha > 1.$$

Note  $f(\mathbf{t}, \mathbf{v}) d\mathbf{v} = dF_t(\mathbf{v})$  if  $F_t$  has a density  $f(\mathbf{t}, \mathbf{v})$ .

## Collision Integral Operator

$$Q(g, h)(v) = \int_{\mathbb{R}^3 \times \mathbb{S}^2} B\left(v, \frac{(v - v_*) \cdot \sigma}{v}\right) \{g'_* h' - g_* h\} d\sigma dv_*$$

where  $g'_* = g(v'_*)$ ,  $h' = h(v')$ ,  $g_* = g(v_*)$ ,  $h = h(v)$  and , for  $\sigma \in \mathbb{S}^2$ ,

$$v' = \frac{v + v_*}{2} + \frac{|v - v_*|}{2} \sigma, \quad v'_* = \frac{v + v_*}{2} - \frac{|v - v_*|}{2} \sigma.$$

$\Downarrow \Uparrow$

$$v' + v'_* = v + v_*, \quad |v'|^2 + |v'_*|^2 = |v|^2 + |v_*|^2.$$

## Collision cross section from Debye-Yukawa type potential

$$B(V, \cos \theta) = \frac{V}{\theta^2} \left( \log \left( \frac{\pi}{V^2 \theta} + e(V) \right) \right)^m, \quad m = \frac{2}{s} - 1 > 0, \quad (4)$$

where  $C^\infty \ni e(V)$  satisfies  $e(V) = e$  for  $V \geq 1$  and  $e(V) = 0$  for  $V \leq 1/2$ .

As usual, the range of  $\theta$  can be restricted to  $[0, \pi/2]$ , by replacing  $B$  by its “symmetrized” version

$$\left[ B(V, \cos \theta) + B(V, \cos(\pi - \theta)) \right] \mathbf{1}_{0 \leq \theta \leq \pi/2}.$$

### *Theorem 1 (Existence of measure valued solution, M-Wang-Yang)*

For any  $F_0 \in P_2(\mathbb{R}^3)$ , there exists a weak solution  $F_t \in C([0, \infty); P_2(\mathbb{R}^3))$  to the Cauchy problem which satisfies:

(1) (conservation of energy)

$$\int |v|^2 dF_t = \int |v|^2 dF_0, \text{ for all } t \geq 0;$$

(2) (moment production)

$$\text{for any } t_0 > 0 \text{ and } \ell > 0, \sup_{t \geq t_0} \int |v|^\ell dF_t(v) < \infty.$$

Here, for  $\alpha > 0$ ,  $F_t \in \mathbf{C}([0, \infty); P_\alpha)$  means that the map  $t \mapsto F_t \in P_\alpha$  is continuous at  $\forall t_0 \in [0, \infty)$  in the weak topology, that is,

$$\left\{ \begin{array}{l} \text{whenever } \psi \in \mathbf{C}(\mathbb{R}^3) \text{ satisfies the growth condition} \\ \sup_{v \in \mathbb{R}^3} \frac{|\psi(v)|}{\langle v \rangle^\alpha} < \infty, \\ \lim_{t \rightarrow t_0} \int_{\mathbb{R}^3} \psi(v) dF_t(v) = \int_{\mathbb{R}^3} \psi(v) dF_{t_0}(v). \end{array} \right.$$

As for other equivalent conditions, see Villani [‘03, Theorem 7.12] and Cho-M-Wang-Yang [‘16, SIMA].

By the Fourier transform:

$$\varphi(\xi, t) = \int e^{-iv \cdot \xi} dF_t(v).$$

- **Wasserstein distance**  $W_\alpha(F, G)$  in  $P_\alpha(\mathbb{R}^d)$ : For  $F, G \in P_\alpha(\mathbb{R}^d)$ ,

$$W_\alpha(F, G) = \left( \inf_{L \in \Pi(F, G)} \int |v - w|^\alpha dL(v, w) \right)^{1/\alpha}, \text{ if } \alpha \geq 1,$$

$$= \inf_{L \in \Pi(F, G)} \int |v - w|^\alpha dL(v, w), \text{ if } 0 < \alpha < 1,$$

where  $\Pi(F, G)$  denotes the set of all probability distributions  $L$  in  $P_\alpha(\mathbb{R}^d \times \mathbb{R}^d)$  having  $F$  and  $G$  as marginal distributions, that is,

$$dF(v) = \int_{\mathbb{R}^d} dL(v, w), \quad dG(w) = \int_{\mathbb{R}^d} dL(v, w).$$

- **Toscani metric** when  $0 < \alpha \leq 2$ : For  $\varphi = \mathcal{F}(F)$ ,  $\tilde{\varphi} = \mathcal{F}(G)$ ,

$$\|\varphi - \tilde{\varphi}\|_\alpha = \sup_{\xi \in \mathbb{R}^d} \frac{|\varphi(\xi) - \tilde{\varphi}(\xi)|}{|\xi|^\alpha}.$$



Following Lu-Mouhot ['12 JDE], we introduce, for any  $\psi \in \mathbf{C}_b^2(\mathbb{R}^3)$ ,

$$L_B[\psi](\mathbf{v}, \mathbf{v}_*) = \int_{\mathbb{S}^2} \mathbf{B}(\mathbf{V}, \cos \theta) (\psi(\mathbf{v}') + \psi(\mathbf{v}_*') - \psi(\mathbf{v}) - \psi(\mathbf{v}_*)) d\sigma.$$

### Definition 1 (Measure valued weak solution)

For  $F_0 \in P_2(\mathbb{R}^3)$ , we say  $F_t \in \mathbf{C}([0, \infty); P_2(\mathbb{R}^3))$  is a measure valued solution to the Cauchy problem (3) if it satisfies :

For every  $\psi(\mathbf{v}) \in \mathbf{C}_b^2(\mathbb{R}^3)$  and  $t > 0$ ,

$$(1) \int_0^t \iint_{\mathbb{R}^3 \times \mathbb{R}^3} |L_B[\psi](\mathbf{v}, \mathbf{v}_*)| dF_\tau(\mathbf{v}) dF_\tau(\mathbf{v}_*) d\tau < \infty.$$

$$(2) \int_{\mathbb{R}^3} \psi(\mathbf{v}) dF_t = \int_{\mathbb{R}^3} \psi(\mathbf{v}) dF_0 + \frac{1}{2} \int_0^t \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} L_B[\psi](\mathbf{v}, \mathbf{v}_*) dF_\tau(\mathbf{v}) dF_\tau(\mathbf{v}_*) d\tau.$$

# Existence for hard potential from inverse power potential

$$B(V, \cos \theta) = V^\gamma b(\cos \theta), \quad b \approx K\theta^{-2-2s}$$

If  $U(\rho) = \rho^{1-n}$ ,  $n > 2$ , then  $\gamma = 1 - 4/(n - 1)$ ,  $s = 1/(n - 1)$ .

- Lu-Mouhot '12 JDE,  $0 < \gamma \leq 2$ .
- M-Wang-Yang '16 J.Stat.Phys.  $-2 \leq \gamma \leq 2$ .  
by means of the Fourier transform, **Bobylev formula**, **Toscani metric**

The uniqueness is unknown. cf., Desvillettes-Mouhot '09 ARMA, .  
Fournier-Mouhot '09 CMP, Fournier-Guérin '08 J.Stat.Phys.

## Proposition 1 (moment production in general)

If  $F_t \in \mathbf{C}([0, \infty); P_2(\mathbb{R}^3))$  is an **energy conservative** measure valued solution to the Cauchy problem and if there exists a  $\kappa > 0$  such that

$$\text{for any } T > 0, \quad \int_0^T \int_{\mathbb{R}^3} \langle v \rangle^{2+\kappa} dF_t(v) dt < \infty,$$

then  $F_t$  has the moment production :

$$\forall t_0 > 0, \quad \forall \ell > 0, \quad \sup_{t \geq t_0} \int |v|^\ell dF_t < \infty.$$

Mischler-Wennberg '99, Lu-Mouhot '12.

**Povzner inequality**

$$B(V, \cos \theta) = \frac{V}{\theta^2} \left( \log \left( \frac{\pi}{V^2 \theta} + e(V) \right) \right)^m, \quad m = \frac{2}{s} - 1.$$

### Theorem 2 (smoothing effect, M-Wang-Yang)

Assume  $\mathbb{N} \ni m \geq 2$ . Let  $F_t \in \mathbf{C}([0, \infty); P_2(\mathbb{R}^3))$  be an **energy conservative** measure valued solution satisfying the moment production property

$$\forall t_0 > 0, \quad \forall \ell > 0, \quad \sup_{t \geq t_0} \int |v|^\ell dF_t < \infty.$$

If the initial datum  $F_0 \in P_2(\mathbb{R}^3)$  is not a single Dirac mass, then  $F_t \in \mathbf{C}^\infty((0, \infty); \mathcal{S}(\mathbb{R}^3))$ . If  $F_0$  has a density  $f_0(v)$  belonging to  $L^1_2 \cap L \log L$ , then the same conclusion holds **even for  $m = 1$** .

# Smoothing effect for inverse power models and etc

- Desvillettes-Wennberg '04-CPDE

$$\mathbf{B} = \langle \mathbf{V} \rangle^\gamma \mathbf{b}(\cos \theta), \gamma > 0, \mathbf{b} \approx \theta^{-2-2s}, 0 < s < 1.$$

- Alexandre-ElSafadi '05, '09
- M-Ukai-Xu-Yang '09-DCDS,

Maxwellian molecule  $\mathbf{B} = \mathbf{b}(\cos \theta) = \theta^{-2} \left( \log \frac{\pi}{\theta} \right)^m, m > 0;$

**Gevrey smoothing effect** for a linear Boltzmann model for

$$\mathbf{B} = \mathbf{b}(\cos \theta) \approx \theta^{-2-2s}.$$

Solved by Barbaroux-Dirk-Tobias-Semjon '17-ARMA, ('17-KRM).

- Huo-M-Ukai-Yang '08-KRM,  $\mathbf{B} = \langle \mathbf{V} \rangle \theta^{-2} \left( \log \frac{\pi}{\theta} \right)^m$ .
- Ultra-analytic, Gelfand-Silov regularity for Maxwellian molecule case around the global equilibrium,  $\mu + \sqrt{\mu} \mathbf{g}$ , by  
Lerner-M-PravdaStarov-Xu '14 JDE, Glangetas-Li-Xu '16 KRM

$$B = |V|^\gamma b(\cos \theta), \quad b \approx \theta^{-2-2s}, \quad 0 < s < 1, \quad \gamma > -2s.$$

- Chen-He '11-ARMA
- Alexandre-M-Ukai-Xu-Yang '12-Kyoto-J.

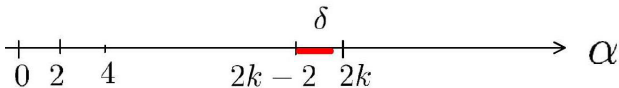
Smoothing effect for **measure valued initial datum except for a single Dirac mass**:

- M-Wang-Yang '16 J.Stat.Phys.
- M-Yang '15 AIHP for Maxwellian molecule case, including infinite energy solution, such as, **Bobylev-Cercignani self-similar solution**, M-Wang-Yang '15 JMPA, '17 AA, Cho-M-Wang-Yang '16 SIMA.

$$\mathcal{F}(P_\alpha(\mathbb{R}^d)), \quad \alpha > 0.$$

# Generalized Toscani metric

For  $\alpha > 0$ , we pose  $\alpha = 2k - 2 + \delta$ ,  $\delta \in [0, 2)$ ,  $k = 1, 2, 3, \dots$ ,



and set

$$\mathcal{M}_k^\delta = \{\varphi \in \mathcal{F}(\mathcal{P}(\mathbb{R}^d)) ; \int \frac{\Delta^k \varphi(\xi)}{|\xi|^{d+2k-2+\delta}} d\xi < \infty\}, \quad (5)$$

where

$$\begin{aligned} \Delta^1 \varphi(\xi) &= \frac{2\varphi(0) - \varphi(\xi) - \varphi(-\xi)}{4} \\ &= \frac{1 - \operatorname{Re} \varphi(\xi)}{2} = \int \sin^2 \frac{\mathbf{v} \cdot \xi}{2} dF(\mathbf{v}), \end{aligned}$$

$$\begin{aligned}\Delta^2 \varphi(\xi) &= \frac{6\varphi(0) - 4\varphi(\xi) - 4\varphi(-\xi) + \varphi(2\xi) + \varphi(-2\xi)}{16} \\ &= \frac{3 - 4 \operatorname{Re} \varphi(\xi) + \operatorname{Re} \varphi(2\xi)}{8} = \int \sin^4 \frac{\mathbf{v} \cdot \xi}{2} dF(\mathbf{v})\end{aligned}$$

and generally for  $\mathbf{k} \in \mathbb{N}^+$ ,

$$\begin{aligned}\Delta^k \varphi(\xi) &= \frac{1}{2} \sum_{j=0}^k c_{k,j} (\varphi(j\xi) + \varphi(-j\xi)) \\ &= \sum_{j=0}^k c_{k,j} \operatorname{Re} \varphi(j\xi) = \int \sin^{2k} \frac{\mathbf{v} \cdot \xi}{2} dF(\mathbf{v}).\end{aligned}$$



Here,  $\mathbf{c}_{k,j}$  are the coefficients of the expansion

$$\sin^{2k} \frac{x}{2} = \sum_{j=0}^k \mathbf{c}_{k,j} \cos(jx) \quad \text{for all } x \in \mathbb{R}, \quad (6)$$

and an inductive calculation gives

$$\mathbf{c}_{k,0} = 2^{-2k} \binom{2k}{k}, \quad \mathbf{c}_{k,j} = (-1)^j 2^{-2k+1} \binom{2k}{k+j}, \quad j = 1, \dots, k.$$

For  $\varphi, \tilde{\varphi} \in \mathcal{M}_k^\delta$ , put

$$\|\varphi - \tilde{\varphi}\|_{\mathcal{M}_k^\delta} = \int_{\mathbb{R}^d} \frac{|\Delta^k \varphi(\xi) - \Delta^k \tilde{\varphi}(\xi)|}{|\xi|^{d+2k-2+\delta}} d\xi,$$

and introduce the distance

$$\mathbf{dis}_{k,\delta,\beta}(\varphi, \tilde{\varphi}) = \|\varphi - \tilde{\varphi}\|_{\mathcal{M}_k^\delta} + \|\varphi - \tilde{\varphi}\|_\beta, \quad (7)$$

where  $\beta \in (0, \min\{\alpha, 2\}]$ .

# Proof of smoothing effect

Our proof is based on the time dependent mollifier in  $[0, T]$ ,

$$M^\delta(t, \xi) = \frac{\langle \xi \rangle^{\lambda(t)}}{(1 + \delta \langle \xi \rangle)^{N_0}}, \quad \delta > 0,$$

where  $\lambda(t) = Nt - (3/2 + \varepsilon)$  and  $N_0 > NT$ .

If  $F_t \in L^\infty([t_0, T]; P_t)$  then

$$M^\delta(t, D_v) \langle v \rangle^\ell F_t \in H^\varepsilon(\mathbb{R}^3), \quad t \in [t_0, T].$$

Take a  $\phi_c(V) \in C_0^\infty([0, 1/2])$  satisfying  $\phi_c = 1$  near  $0$  and divide

$$Q(f, g) = Q_c(f, g) + Q_{\bar{c}}(f, g),$$

where  $Q_c$  is defined by  $B$  replaced by  $B(V, \cos \theta)\phi_c(V)$ .

*Lemma 2 (Commutator for regular part, Huo-M-Ukai-Yang-'08)*

If  $M_\lambda^\delta(D_v) = \frac{\langle D_v \rangle^\lambda}{(1 + \delta \langle D_v \rangle)^{N_0}}$  then we have

$$\left| \left( M_\lambda^\delta(D_v) Q_{\bar{c}}(f, g) - Q_{\bar{c}}(f, M_\lambda^\delta(D_v)g), h \right) \right| \\ \lesssim \|f\|_{L^1_1} \|M_\lambda^\delta(D_v)g\|_{L^2_{1/2}} \|h\|_{L^2_{1/2}}.$$

Proof is done by using the Littlewood-Paley decomposition

$$\sum_{k=0}^{\infty} \phi_k(v) = 1, \quad \phi_k(v) = \phi(2^{-k}v) \text{ for } k \geq 1$$

$$\text{with } 0 \leq \phi_0, \phi \in C_0^\infty(\mathbb{R}^3),$$

$$\text{supp } \phi_0 \subset \{|v| < 2\}, \quad \text{supp } \phi \subset \{1 < |v| < 3\}.$$

and the pseudo-differential calculus.

### Lemma 3 (Commutator for singular part, AMUXY-Kyoto-J.'12)

Assume  $\mathbf{N}_0 - \lambda < 3$ . Then we have:

1) If  $\lambda < 3/2$ , then

$$\left| \left( M_\lambda^\delta Q_c(f, g) - Q_c(f, M_\lambda^\delta g), h \right) \right| \lesssim \|f\|_{L^1} \|M_\lambda^\delta g\|_{L^2} \|h\|_{L^2}.$$

2) If  $\lambda \geq 3/2$ , then

$$\begin{aligned} \left| \left( M_\lambda^\delta Q_c(f, g) - Q_c(f, M_\lambda^\delta g), h \right) \right| \\ \lesssim \left( \|f\|_{L^1} + \|f\|_{H^{(\lambda-3)^+}} \right) \|M_\lambda^\delta g\|_{L^2} \|h\|_{L^2}. \end{aligned}$$

## Outline of the proof of Lemma 3

Note that

$$\begin{aligned} B(V, \cos \theta) \phi_c(V) &= \frac{V}{\theta^2} \phi_c(V) \left( \log \frac{\pi}{V^2 \theta} \right)^m, \quad m \in \mathbb{N}, \\ &= \sum_{j=0}^m \binom{m}{j} 2^j V (-\log V)^j \phi_c(V) \theta^{-2} \left( \log \frac{\pi}{\theta} \right)^{m-j} \end{aligned}$$

because  $\mathbf{e}(V) = \mathbf{0}$  on  $\text{supp } \phi_c \subset [0, 1/2]$ . If we put  $\Phi_{c,j}(v) = |v| (\log |v|)^j \phi_c(|v|)$  then we can write

$$B(V, \cos \theta) \phi_c(V) = \sum_{j=0}^m \Phi_{c,j}(v - v_*) b_j(\cos \theta).$$

It follows from the general Bobylev formula (see the Appendix of Alexandre-Desvillettes-Villani-Wennberg-'00) that

$$\begin{aligned}
& (2\pi)^3 \left( M_\lambda^\delta(D) Q_c(f, g) - Q_c(f, M_\lambda^\delta(D) g), h \right) \\
&= \sum_{j=0}^m \iiint b_j \left( \frac{\xi}{|\xi|} \cdot \sigma \right) [\hat{\Phi}_{c,j}(\xi_* - \xi^-) - \hat{\Phi}_{c,j}(\xi_*)] \\
&\quad \times \left( M_\lambda^\delta(\xi) - M_\lambda^\delta(\xi - \xi_*) \right) \overline{\hat{f}(\xi_*) \hat{g}(\xi - \xi_*) \hat{h}(\xi)} d\xi d\xi_* d\sigma,
\end{aligned}$$

where  $\xi^- = \frac{1}{2}(\xi - |\xi|\sigma)$ . Since for any small  $\varepsilon > 0$  and any  $\beta \in \mathbb{Z}_+^3$  there exists a  $C_{\varepsilon, \beta} > 0$  such that

$$|\partial_\xi^\beta \hat{\Phi}_{c,j}(\xi)| \leq C_{\varepsilon, \beta} \langle \xi \rangle^{-4-|\beta|+\varepsilon}, \quad \text{for all } \xi \in \mathbb{R}^3, \quad (8)$$

the proof of the lemma can be done by the same way as in Proposition 3.4 of AMUXY-Kyoto J. '12.

For the proof of (8), we recall elementary formulas concerning the Fourier transform of  $r^{-1}(\log r)^j$ ,  $r = |\mathbf{x}|$ ,  $\mathbf{x} \in \mathbb{R}^d$ ,  $d \geq 2$ . Note

$$\begin{aligned} \mathcal{F}_{\mathbf{x} \rightarrow \xi} \left[ \frac{1}{r^\alpha} \right] (\xi) &= \pi^{\alpha - \frac{d}{2}} \frac{\Gamma\left(\frac{d-\alpha}{2}\right)}{\Gamma\left(\frac{\alpha}{2}\right)} \frac{1}{\rho^{d-\alpha}}, \quad 0 < \alpha < d, \quad \rho = |\xi|, \\ \mathcal{F}_{\mathbf{x} \rightarrow \xi} \left[ \frac{(-\log r)^j}{r} \right] (\xi) &= \mathcal{F}_{\mathbf{x} \rightarrow \xi} \left[ \frac{d^j}{d\alpha^j} \left( \frac{1}{r^\alpha} \right) \right] (\xi) \Big|_{\alpha=1} \\ &= \frac{d^j}{d\alpha^j} \left\{ \pi^{\alpha - \frac{d}{2}} \frac{\Gamma\left(\frac{d-\alpha}{2}\right)}{\Gamma\left(\frac{\alpha}{2}\right)} \frac{1}{\rho^{d-\alpha}} \right\} \Big|_{\alpha=1} \\ &= \pi^{1 - \frac{d}{2}} \frac{\Gamma\left(\frac{d-1}{2}\right)}{\Gamma\left(\frac{1}{2}\right)} \frac{(\log \rho)^j}{\rho^{d-1}} + \sum_{k=1}^j c_{d,k} \frac{(\log \rho)^{j-k}}{\rho^{d-1}}, \quad c_{d,k} \in \mathbb{R}. \end{aligned}$$

Apply the last formula with  $d = 3$  to  $\Phi_j^0(\mathbf{v}) = |\mathbf{v}|^{-1}(\log |\mathbf{v}|)^j$ .

Put  $\Phi_{c,j}^0(\mathbf{v}) = |\mathbf{v}|^{-1}(\log|\mathbf{v}|)^j \phi_c(\mathbf{v})$ . Then, for the proof of (8) it suffices to show

$$|\partial^\beta \hat{\Phi}_{c,j}^0(\xi)| \lesssim \langle \xi \rangle^{-2-|\beta|+\varepsilon},$$

because  $\hat{\Phi}_{c,j}(\xi) = (-\Delta_\xi) \hat{\Phi}_{c,j}^0(\xi)$ .

Let  $\psi = \psi(\xi)$  be a smooth positive function supported on  $|\xi| \leq 1$  and equal to 1 for  $|\xi| \leq 1/2$ . Then we have

$$\partial_\xi^\beta \hat{\Phi}_{c,j}^0(\xi) = \int_{\mathbb{R}_\eta^3} \hat{\Phi}_j^0(\xi - \eta) \partial_\eta^\beta \phi_c(\eta) d\eta = J_1 + J_2,$$

where

$$J_1 = \int_{|\xi-\eta| \leq 1} \hat{\Phi}_j^0(\xi - \eta) \psi(\xi - \eta) \partial_\eta^\beta \phi_c(\eta) d\eta,$$



$$\begin{aligned}
\mathbf{J}_2 &= \int_{|\xi-\eta|\geq 1/2} \hat{\Phi}_j^0(\xi-\eta)(1-\psi(\xi-\eta))\partial_\eta^\beta \phi_c(\eta) d\eta \\
&= \int_{|\xi-\eta|\geq 1/2} \partial_\xi^\beta \hat{\Phi}_j^0(\xi-\eta)(1-\psi(\xi-\eta))\phi_c(\eta) d\eta \\
&\quad - \sum_{\beta'+\beta''=\beta, \beta''\neq 0} \int_{1/2\leq|\xi-\eta|\leq 1} (\partial_\xi^{\beta'} \hat{\Phi}_j^0)(\xi-\eta)(\partial_\xi^{\beta''} \psi)(\xi-\eta)\phi_c(\eta) d\eta \\
&= \mathbf{J}_{2,1} + \mathbf{J}_{2,2}.
\end{aligned}$$

Since  $\phi_c \in \mathcal{S}$  and  $\langle \xi \rangle \sim \langle \eta \rangle$  if  $|\xi - \eta| \leq 1$  for any  $k > 0$  we have

$$|\mathcal{J}_1| \lesssim \int_{|\xi - \eta| \leq 1} \frac{1}{|\xi - \eta|^{2+\varepsilon}} \langle \eta \rangle^{-k} d\eta \lesssim \langle \xi \rangle^{-k}.$$

When  $\beta \neq 0$ , similarly we have

$$|\mathcal{J}_{2,2}| \lesssim \int_{1/2 \leq |\xi - \eta| \leq 1} \frac{1}{|\xi - \eta|^{2+|\beta|+\varepsilon}} \langle \eta \rangle^{-k} d\eta \lesssim \langle \xi \rangle^{-k}.$$

On the other hand, choosing  $k = 5 + |\beta|$  we have

$$\begin{aligned} |\mathcal{J}_{2,1}| &\lesssim \int_{|\xi - \eta| \geq 1/2} \frac{1}{|\xi - \eta|^{2+|\beta|-\varepsilon}} \langle \eta \rangle^{-k} d\eta \\ &= \int_{|\xi - \eta| \geq 1/2} \left( \frac{1}{|\xi - \eta| \langle \eta \rangle} \right)^{2+|\beta|-\varepsilon} \frac{d\eta}{\langle \eta \rangle^{3-\varepsilon}} \lesssim \langle \xi \rangle^{-2-|\beta|+\varepsilon}. \end{aligned}$$

## Coercivity estimate

*Proposition 2 (Fournier '15 A.A. Probab., Villani Chapter 2-6.2, '02)*

Assume that the initial datum  $F_0 \in P_2(\mathbb{R}^3)$  is not a single Dirac mass. Then, for any energy conservative weak solution  $F_t \in C([0, \infty); P_2(\mathbb{R}^3))$ , we have

$$\text{supp } F_t = \mathbb{R}^3 \text{ for all } t > 0.$$

By this proposition and the method in [M-Yang '15], we see that for any  $t_0 > 0$ ,  $R > 0$  there exist  $c_0 > 0$  and  $0 < c_1 < c_2$  such that

$$\widehat{F_{t_0} \chi_{B(R)}}(\mathbf{0}) - |\widehat{F_{t_0} \chi_{B(R)}}(\xi)| \geq c_0 \text{ if } c_1 \leq |\xi| \leq c_2, \quad (9)$$

where  $\chi_{B(R)}(\mathbf{v})$  is a characteristic function on a ball  $B(R)$  centered at the origin with a radius  $R$ .

## Lemma 4 (Coercivity)

For any  $f \in \mathcal{S}$  we have

$$\begin{aligned} -\left(\mathbf{Q}(F_{t_0}, f), f\right) &= \iiint B(f^2 - f'f) dv d\sigma dF_{t_0}(v_*) \\ &\geq c'_0 \|(\log\langle D_v \rangle)^{m/2} f\|_{L^2_{1/2}}^2 - C_N \|(\log\langle v \rangle)^{m/2} f\|_{H^{-N}_{1/2+4N}}^2. \end{aligned}$$

If  $dF_0(v) = f_0(v)dv$  with  $f_0 \in L^1_2 \cap L \log L$ , then

$$\begin{aligned} -\left(\mathbf{Q}(f_0, f), f\right) \\ \geq c'_0 \|(\log\langle D_v \rangle)^{(1+m)/2} f\|_{L^2_{1/2}}^2 - C_N \|(\log\langle v \rangle)^{(1+m)/2} f\|_{H^{-N}_{1/2+8N}}^2. \end{aligned}$$

Indeed, it follows from Lemma 3 of ADVW '00 that, instead of (9), we have

$$\widehat{f_0 \chi_{B(R)}}(\mathbf{0}) - |\widehat{f_0 \chi_{B(R)}}(\xi)| \geq c_0 \text{ if } c_1 \leq |\xi|. \quad (10)$$

Note  $-2(\mathbf{Q}(\mathbf{g}, f), f) = \iiint \mathbf{B}g_*(f' - f)^2 + \iiint \mathbf{B}g_*(f^2 - f'^2)$ .

The second term can be estimated by using the cancellation lemma of [ADVW]. Let  $\phi_R(\mathbf{v})$  be a non-negative smooth function supported on  $|\mathbf{v}| \geq 2R$  and equal to 1 for  $|\mathbf{v}| \geq 4R$ . We use the Littlewood-Paley decomposition  $\sum_{2^k \gtrsim R} \phi_k(\mathbf{v})^2 = 1$  over  $\text{supp } \varphi_R(\mathbf{v})$ . Notice that

$$\begin{aligned} \mathbf{B}g_*(f' - f)^2 &\geq \mathbf{B}(g\chi_{B(R)})_* \sum_{2^k \gtrsim R} \phi_k(\mathbf{v})^2 \varphi_R^2(\mathbf{v}) (f' - f)^2 \\ &\gtrsim \sum_{2^k \gtrsim R} \frac{2^k}{\theta^2} \left( \log \left( \frac{\pi}{2^{2k}\theta} \right) \right)^m (g\chi_{B(R)})_* \left[ \frac{1}{2} \left( (\phi_k f)' - \phi_k f \right)^2 - (\phi'_k - \phi_k)^2 f'^2 \right] \end{aligned}$$

Put  $\mathbf{b}_k(\cos \theta) = \frac{1}{\theta^2} \left( \log \left( \frac{\pi}{2^{2k}\theta} \right) \right)^m$ . Then it follows from Corollary 3 of [ADVW] that

$$\begin{aligned}
& \iiint 2^k b_k(\cos \theta) (\mathbf{g}\chi_{B(R)})_* \left( (\phi_k f)' - \phi_k f \right)^2 dv dv_* d\sigma \\
& \geq \frac{2^k}{2(2\pi)^2} \int_{|\xi| \geq c_2 2^{4k}/\pi} \left( \widehat{\mathbf{g}\chi_{B(R)}}(0) - |\widehat{\mathbf{g}\chi_{B(R)}}(\xi^-)| \right) |\widehat{\phi_k f}(\xi)|^2 \\
& \quad \times \int_{2^{\sin^{-1} \frac{c_1}{|\xi|}}}^{2^{\sin^{-1} \frac{c_2}{|\xi|}}} \frac{\sin \theta}{\theta^2} \left( \frac{1}{2} \log \frac{1}{\theta} \right)^m d\theta d\xi \\
& \geq c_0 c_m(c_1, c_2) \int_{|\xi| \geq c_2 2^{4k}/\pi} |(\log |\xi|)^{m/2} 2^{k/2} \widehat{\phi_k f}(\xi)|^2 d\xi,
\end{aligned}$$

where we used (9), that is,

$$\begin{aligned}
0 < \exists c_1 < c_2, \exists c_0 > 0 \quad \widehat{\mathbf{g}\chi_{B(R)}}(0) - |\widehat{\mathbf{g}\chi_{B(R)}}(\xi^-)| \geq c_0 \\
\text{if } c_1 \leq |\xi^-| = |\xi| \sin \frac{\theta}{2} \leq c_2,
\end{aligned}$$

and the fact that

$$\pi / (2^{2k} \theta) \geq 1 / \theta^{1/2} \text{ if } \theta \leq 2 \sin^{-1} \frac{c_2}{|\xi|} \text{ and } |\xi| \geq 2^{4k} c_2 / \pi.$$

Consequently we have

$$\begin{aligned} & \iiint 2^k b(\cos \theta) (g \chi_{B(R)})_* \left( (\phi_k f)' - \phi_k f \right)^2 dv dv_* d\sigma \\ & \geq \frac{1}{2} c_0 c_m(c_1, c_2) \|(\log \langle D_v \rangle)^{m/2} \phi_k f\|_{L^2_{1/2}}^2 \\ & \quad - C \|(\log \langle v \rangle)^{m/2} \langle v \rangle^{1/2+4N} \phi_k f\|_{H^{-N}}^2. \end{aligned}$$

As a conclusion we have the coercive estimate

$$-\left( Q(g, f), f \right) \geq \tilde{c}_0 \|(\log \langle D_v \rangle)^{m/2} f\|_{L^2_{1/2}}^2 - C_N \|(\log \langle v \rangle)^{m/2} f\|_{H^{-N}_{1/2+4N}}^2.$$

# Thank you for your attention !

ご静聴ありがとうございました。